

# Specification of Source §2 Stepper—2021 edition

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## Reduction

The *reducer*  $\Rightarrow$  is a partial function from programs/statements/expressions to programs/statements/expressions (with slight abuse of notation via overloading) and  $\Rightarrow^*$  is its reflexive transitive closure. A *reduction* is a sequence of programs  $p_1 \Rightarrow \dots \Rightarrow p_n$ , where  $p_n$  is not reducible, i.e. there is no program  $q$  such that  $p_n \Rightarrow q$ . Here, the program  $p_n$  is called the *result of reducing*  $p_1$ .

A *value* is a primitive number expression, primitive boolean expression, a primitive string expression, a function definition expression or a function declaration statement.

The *substitution* function  $p[n := v]$  on programs/statements/expressions replaces every free occurrence of the name  $n$  in statement  $p$  by value  $v$ . Care must be taken to introduce and preserve co-references in this process; substitution can introduce cyclic references in the result of the substitution. For example,  $n$  may occur free in  $v$ , in which case every occurrence of  $n$  in  $p$  will be replaced by  $v$  such that  $n$  in  $v$  refers cyclically to the node at which the replacement happens.

## Programs

**First-statement:** In a sequence of statements, we can always reduce the first one.

$$\frac{\text{statement} \Rightarrow \text{statement}'}{\text{statement} \dots \Rightarrow \text{statement}' \dots}$$

**Eliminate-function-declaration:** Function declarations as first statements are substituted in the remaining statements.

$$\frac{f = \mathbf{function\ name\ (parameters)\ block}}{f\ \text{statement} \dots \Rightarrow \text{statement} \dots [name := f]}$$

**Eliminate-constant-declaration:** Constant declarations as first statements are substituted in the remaining statements.

$$\frac{c = \mathbf{const\ name = v}}{c\ \text{statement} \dots \Rightarrow \text{statement} \dots [name := v]}$$

**Eliminate-Values:** Values as first statements are discarded, if they are preceding one or more statements in a statement sequence.

$$\frac{v\ \text{is a value}}{v; \text{statement}^+ \Rightarrow \text{statement}^+}$$

## Statements: Constant declarations

**Evaluate-constant-declaration:** The right-hand expressions in constant declarations are evaluated.

$$\frac{expression \Rightarrow expression'}{\mathbf{const\ name = expression} \Rightarrow \mathbf{const\ name = expression'}}$$

## Statements: Conditionals

**Conditional-statement-predicate:** A conditional statement is reducible if its predicate is reducible.

$$\frac{e \Rightarrow e'}{\mathbf{if\ ( e ) \{ \dots \} else \{ \dots \}} \Rightarrow \mathbf{if\ ( e' ) \{ \dots \} else \{ \dots \}}}$$

**Conditional-statement-consequent:** A conditional statement whose predicate is true reduces to the consequent block.

$$\frac{}{\mathbf{if\ ( true ) \{ statement_1 \} else \{ statement_2 \}} \Rightarrow \{ statement_1 \}}$$

**Conditional-statement-alternative:** A conditional statement whose predicate is false reduces to the alternative block.

$$\frac{}{\mathbf{if\ ( false ) \{ statement_1 \} else \{ statement_2 \}} \Rightarrow \{ statement_2 \}}$$

## Statements: Blocks

**Block-statement-reduce:** A block statement is reducible if its program is reducible.

$$\frac{program \Rightarrow program'}{\{ program \} \Rightarrow \{ program' \}}$$

**Block-statement-undefined:** A block statement whose body only contains a single value statement reduces to the value **undefined**.

$$\frac{}{\{ v ; \} \Rightarrow \mathbf{undefined}}$$

**Block-statement-reduce-return:** A block statement whose body only contains a single return statement can be reduced by reducing the return expression.

$$\frac{e \Rightarrow e'}{\{ \mathbf{return\ e ;} \} \Rightarrow \{ \mathbf{return\ e' ;} \}}$$

**Block-statement-eliminate-return:** A block statement whose body only contains a single return value reduces to that value.

$$\frac{}{\{ \mathbf{return\ v ;} \} \Rightarrow v}$$

## Statements: Expression statements

**Expression-statement-reduce:** An expression statement is reducible if its expression is reducible.

$$\frac{e \Rightarrow e'}{e ; \Rightarrow e' ;}$$

## Expressions: Binary operators

**Left-binary-reduce:** An expression with binary operator can be reduced if its left sub-expression can be reduced.

$$\frac{e_1 \Rightarrow e'_1}{e_1 \text{ binary-operator } e_2 \Rightarrow e'_1 \text{ binary-operator } e_2}$$

**And-shortcut-false:** An expression with binary operator `&&` whose left sub-expression is `false` can be reduced to `false`.

$$\overline{\text{false } \&\& \ e \Rightarrow \text{false}}$$

**And-shortcut-true:** An expression with binary operator `&&` whose left sub-expression is `true` can be reduced to the right sub-expression.

$$\overline{\text{true } \&\& \ e \Rightarrow e}$$

**Or-shortcut-true:** An expression with binary operator `||` whose left sub-expression is `true` can be reduced to `true`.

$$\overline{\text{true } || \ e \Rightarrow \text{true}}$$

**Or-shortcut-false:** An expression with binary operator `||` whose left sub-expression is `false` can be reduced to the right sub-expression.

$$\overline{\text{false } || \ e \Rightarrow e}$$

**Right-binary-reduce:** An expression with binary operator can be reduced if its left sub-expression is a value and its right sub-expression can be reduced.

$$\frac{e_2 \Rightarrow e'_2, \text{ and } \text{binary-operator is not } \&\& \text{ or } ||}{v \text{ binary-operator } e_2 \Rightarrow v \text{ binary-operator } e'_2}$$

**Prim-binary-reduce:** An expression with binary operator can be reduced if its left and right sub-expressions are values and the corresponding function is defined for those values.

$$\frac{v \text{ is result of } v_1 \text{ binary-operator } v_2}{v_1 \text{ binary-operator } v_2 \Rightarrow v}$$

## Expressions: Unary operators

**Unary-reduce:** An expression with unary operator can be reduced if its sub-expression can be reduced.

$$\frac{e \Rightarrow e'}{\text{unary-operator } e \Rightarrow \text{unary-operator } e'}$$

**Prim-unary-reduce:** An expression with unary operator can be reduced if its sub-expression is a value and the corresponding function is defined for that value.

$$\frac{v' \text{ is result of } \text{unary-operator } v}{\text{unary-operator } v \Rightarrow v'}$$

## Expressions: conditionals

**Conditional-predicate-reduce:** A conditional expression can be reduced, if its predicate can be reduced.

$$\frac{e_1 \Rightarrow e'_1}{e_1 ? e_2 : e_3 \Rightarrow e'_1 ? e_2 : e_3}$$

**Conditional-true-reduce:** A conditional expression whose predicate is the value **true** can be reduced to its consequent expression.

$$\frac{}{\mathbf{true} ? e_1 : e_2 \Rightarrow e_1}$$

**Conditional-false-reduce:** A conditional expression whose predicate is the value **false** can be reduced to its alternative expression.

$$\frac{}{\mathbf{false} ? e_1 : e_2 \Rightarrow e_2}$$

## Expressions: function application

**Application-functor-reduce:** A function application can be reduced if its functor expression can be reduced.

$$\frac{e \Rightarrow e'}{e ( \text{expressions} ) \Rightarrow e' ( \text{expressions} )}$$

**Application-argument-reduce:** A function application can be reduced if one of its argument expressions can be reduced and all preceding arguments are values.

$$\frac{e \Rightarrow e'}{v ( v_1 \dots v_i e \dots ) \Rightarrow v ( v_1 \dots v_i e' \dots )}$$

**Function-declaration-application-reduce:** The application of a function declaration can be reduced, if all arguments are values.

$$\frac{f = \mathbf{function} \ n ( x_1 \dots x_n ) \ \mathbf{block}}{f ( v_1 \dots v_n ) \Rightarrow \mathbf{block}[x_1 := v_1] \dots [x_n := v_n][n := f]}$$

**Function-definition-application-reduce:** The application of a function definition can be reduced, if all arguments are values.

$$\frac{f = ( x_1 \dots x_n ) \Rightarrow b, \text{ where } b \text{ is an expression or block}}{f ( v_1 \dots v_n ) \Rightarrow b[x_1 := v_1] \dots [x_n := v_n]}$$

## Substitution

**Identifier:** An identifier with the same name as  $x$  is substituted with  $e_x$ .

$$\overline{x[x := e_x]} = e_x$$

$$\frac{\textit{name} \neq x}{\textit{name}[x := e_x]} = \textit{name}$$

**Expression statement:** All occurrences of  $x$  in  $e$  are substituted with  $e_x$ .

$$\overline{e; [x := e_x]} = e[x := e_x];$$

**Binary expression:** All occurrences of  $x$  in the operands are substituted with  $e_x$ .

$$\overline{(e_1 \textit{ binary-operator } e_2)[x := e_x]} = e_1[x := e_x] \textit{ binary-operator } e_2[x := e_x]$$

**Unary expression:** All occurrences of  $x$  in the operand are substituted with  $e_x$ .

$$\overline{(\textit{unary-operator } e)[x := e_x]} = \textit{unary-operator } e[x := e_x]$$

**Conditional expression:** All occurrences of  $x$  in the operands are substituted with  $e_x$ .

$$\overline{(e_1 \textit{ ? } e_2 \textit{ : } e_3)[x := e_x]} = e_1[x := e_x] \textit{ ? } e_2[x := e_x] \textit{ : } e_3[x := e_x]$$

**Logical expression:** All occurrences of  $x$  in the operands are substituted with  $e_x$ .

$$\overline{(e_1 \textit{ || } e_2)[x := e_x]} = e_1[x := e_x] \textit{ || } e_2[x := e_x]$$

$$\overline{(e_1 \textit{ \&\& } e_2)[x := e_x]} = e_1[x := e_x] \textit{ \&\& } e_2[x := e_x]$$

**Call expression:** All occurrences of  $x$  in the arguments and the function expression of the application  $e$  are substituted with  $e_x$ .

$$\overline{(e (x_1 \dots x_n)) [x := e_x]} = e[x := e_x] (x_1[x := e_x] \dots x_n[x := e_x])$$

**Function declaration:** All occurrences of  $x$  in the body of a function are substituted with  $e_x$  under given circumstances.

- ① Function declaration where  $x$  has the same name as a parameter.

$$\frac{\exists i \in \{1, \dots, n\} \text{ s.t. } x = x_i}{(\mathbf{function\ name\ } (x_1 \dots x_n) \mathbf{\ block})[x := e_x] = \mathbf{function\ name\ } (x_1 \dots x_n) \mathbf{\ block}}$$

- ② Function declaration where  $x$  does not have the same name as a parameter.

- (i) No parameter of the function occurs free in  $e_x$ .

$$\frac{\forall i \in \{1, \dots, n\} \text{ s.t. } x \neq x_i, \forall j \in \{1, \dots, n\} \text{ s.t. } x_j \text{ does not occur free in } e_x}{(\mathbf{function\ name\ } (x_1 \dots x_n) \mathbf{\ block})[x := e_x]} = \mathbf{function\ name\ } (x_1 \dots x_n) \mathbf{\ block}[x := e_x]}$$

- (ii) A parameter of the function occurs free in  $e_x$ .

$$\frac{\forall i \in \{1, \dots, n\} \text{ s.t. } x \neq x_i, \exists j \in \{1, \dots, n\} \text{ s.t. } x_j \text{ occurs free in } e_x}{(\mathbf{function\ name\ } (x_1 \dots x_j \dots x_n) \mathbf{\ block})[x := e_x]} = (\mathbf{function\ name\ } (x_1 \dots y \dots x_n) \mathbf{\ block}[x_j := y])[x := e_x]}$$

Substitution is applied to the whole expression again as to recursively detect and rename all parameters of the function declaration that clash with variables that occur free in  $e_x$ , at which point (i) takes place. Note that the name  $y$  is not declared in, nor occurs in  $block$  and  $e_x$ .

**Lambda expression:** All occurrences of  $x$  in the body of a lambda expression are substituted with  $e_x$  under given circumstances.

- ① Lambda expression where  $x$  has the same name as a parameter.

$$\frac{\exists i \in \{1, \dots, n\} \text{ s.t. } x = x_i}{((x_1 \dots x_n) \Rightarrow \mathbf{block})[x := e_x] = (x_1 \dots x_n) \Rightarrow \mathbf{block}}$$

- ② Lambda expression where  $x$  does not have the same name as a parameter.

- (i) No parameter of the lambda expression occurs free in  $e_x$ .

$$\frac{\forall i \in \{1, \dots, n\} \text{ s.t. } x \neq x_i, \forall j \in \{1, \dots, n\} \text{ s.t. } x_j \text{ does not occur free in } e_x}{((x_1 \dots x_n) \Rightarrow \mathbf{block})[x := e_x] = (x_1 \dots x_n) \Rightarrow \mathbf{block}[x := e_x]}$$

- (ii) A parameter of the lambda expression occurs free in  $e_x$ .

$$\frac{\forall i \in \{1, \dots, n\} \text{ s.t. } x \neq x_i, \exists j \in \{1, \dots, n\} \text{ s.t. } x_j \text{ occurs free in } e_x}{((x_1 \dots x_j \dots x_n) \Rightarrow \mathbf{block})[x := e_x] = ((x_1 \dots y \dots x_n) \Rightarrow \mathbf{block}[x_j := y])[x := e_x]}$$

Substitution is applied to the whole expression again as to recursively detect and rename all parameters of the lambda expression that clash with variables that occur free in  $e_x$ , at which point (i) takes place. Note that the name  $y$  is not declared in, nor occurs in  $block$  and  $e_x$ .

**Block expression:** All occurrences of  $x$  in the statements of a block expression are substituted with  $e_x$  under given circumstances.

- ① Block expression in which  $x$  is declared.

$$\frac{x \text{ is declared in } block}{block[x := e_x] = block}$$

- ② Block expression in which  $x$  is not declared.

- (i) No names declared in the block occurs free in  $e_x$ .

$$\frac{x \text{ is not declared in } block, \text{ name declared in } block \text{ does not occur free in } e_x}{block[x := e_x] = [block[0][x := e_x], \dots, block[n][x := e_x]]}$$

- (ii) A name declared in the block occurs free in  $e_x$ .

$$\frac{x \text{ is not declared in } block, \text{ name declared in } block \text{ occurs free in } e_x}{block[x := e_x] = [block[0][name := y], \dots, block[n][name := y]][x := e_x]}$$

Substitution is applied to the whole expression again as to recursively detect and re-name all declared names of the block expression that clash with variables that occur free in  $e_x$ , at which point (i) takes place. Note that the name  $y$  is not declared in, nor occurs in  $block$  and  $e_x$ .

**Variable declaration:** All occurrences of  $x$  in the declarators of a variable declaration are substituted with  $e_x$ .

$$\overline{declarations[x := e_x]} = [\overline{declarations[0][x := e_x]} \dots \overline{declarations[n][x := e_x]}]$$

**Return statement:** All occurrences of  $x$  in the expression that is to be returned are substituted with  $e_x$ .

$$\overline{(\mathbf{return} e;)}[x := e_x] = \mathbf{return} e[x := e_x];$$

**Conditional statement:** All occurrences of  $x$  in the condition, consequent, and alternative expressions of a conditional statement are substituted with  $e_x$ .

$$\overline{(\mathbf{if} ( e ) \mathbf{block} \mathbf{else} \mathbf{block})}[x := e_x] = \mathbf{if} ( e[x := e_x] ) \mathbf{block}[x := e_x] \mathbf{else} \mathbf{block}[x := e_x]$$

**Array expression:** All occurrences of  $x$  in the elements of an array are substituted with  $e_x$ .

$$\overline{[x_1, \dots, x_n]}[x := e_x] = [x_1[x := e_x], \dots, x_n[x := e_x]]$$

## Free names

Let  $\triangleright$  be the relation that defines the set of free names of a given Source expression; the symbols  $p_1$  and  $p_2$  shall henceforth refer to unary and binary operations, respectively. That is,  $p_1$  ranges over  $\{!\}$  and  $p_2$  ranges over  $\{||, \&\&, +, -, *, /, ===, >, <\}$ .

### Identifier:

$$\frac{}{x \triangleright \{x\}}$$

$$\frac{}{\text{name} \triangleright \emptyset}$$

### Boolean:

$$\frac{}{\text{true} \triangleright \emptyset}$$

$$\frac{}{\text{false} \triangleright \emptyset}$$

### Expression statement:

$$\frac{e \triangleright S}{e; \triangleright S}$$

### Unary expression:

$$\frac{e \triangleright S}{p_1(e) \triangleright S}$$

### Binary expression:

$$\frac{e_1 \triangleright S_1, e_2 \triangleright S_2}{p_2(e_1, e_2) \triangleright S_1 \cup S_2}$$

### Conditional expression:

$$\frac{e_1 \triangleright S_1, e_2 \triangleright S_2, e_3 \triangleright S_3}{e_1 ? e_2 : e_3 \triangleright S_1 \cup S_2 \cup S_3}$$

### Call expression:

$$\frac{e \triangleright S, e_k \triangleright T_k}{e(e_1, \dots, e_n) \triangleright S \cup T_1 \cup \dots \cup T_n}$$

### Function declaration:

$$\frac{\text{block} \triangleright S}{\text{function name } (x_1 \dots x_n) \text{ block} \triangleright S - \{x_1, \dots, x_n\}}$$

### Lambda expression:

$$\frac{\text{block} \triangleright S}{(x_1 \dots x_n) \Rightarrow \text{block} \triangleright S - \{x_1, \dots, x_n\}}$$



**Block expression:**

$$\frac{\text{block}[k] \triangleright S_k, T \text{ contains all names declared in } \text{block}}{\text{block} \triangleright (S_1 \cup \dots \cup S_n) - T}$$

**Constant declaration:**

$$\frac{e \triangleright S}{\text{const name} = e; \triangleright S}$$

**Return statement:**

$$\frac{e \triangleright S}{\text{return } e; \triangleright S}$$

**Conditional statement:**

$$\frac{e \triangleright S, \text{block}_1 \triangleright T_1, \text{block}_2 \triangleright T_2}{\text{if } (e) \text{ block}_1 \text{ else } \text{block}_2 \triangleright S \cup T_1 \cup T_2}$$